

For In-House Counsel, Board Directors and Others:
*Accounting, Outside Auditor Services and Reports, Internal Controls,
Fraud, Internal Audit/GRC, and Board/Audit Committee Oversight*

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A 2-Hour Presentation, Plus Written Materials

Summary of Topic Areas Covered:

1. Accounting principles review.
Conventions and general principles; the balance sheet; the income statement; the statement of cash flows; footnotes; disclosures; MD&A; chart of accounts.
Select areas in which accounting might be more difficult.
2. Outside auditor services and reports including audit, review and compilation.
The information and assurances that they provide, and how you can obtain greater benefit.
3. Board/Audit Committee oversight, governance and collaboration—public, private and nonprofit.
4. Internal audit and governance, risk and compliance (GRC).
Internal audit—what it is and does, collaborating with, and how it provides benefit to you.
GRC—what it is and does, collaborating with, and how it provides benefit to you.
5. Internal controls; risk management.
6. Fraud prevention and detection.
7. Additional resources.

In a concise format this presentation provides attendees with a tremendous amount of information and materials about accounting principles and the accounting function; services and representations made by the outside auditor; internal audit and its function; governance, risk and compliance; internal controls; fraud prevention and detection; and board and audit committee oversight including collaboration with others. Attendees also receive significant written materials for later reference.

About the Presenter: Mr. Tate is a litigation and consulting attorney in San Francisco, and a certified public accountant (inactive). He has written and presented materials about accounting and its legal implications for over fifteen years. His activities also include a nationally published book for management, an audit committee chapter for the California Continuing Education, and numerous articles for accountant, internal audit, legal, director/officer, and risk management publications. Mr. Tate works with many professional organizations and groups, and has served as an audit committee chair. You will find substantial additional materials on Mr. Tate's website at <http://davidtate.us>.